## IN THE UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF PENNSYLVANIA

PUBLIC EMPLOYEES' RETIREMENT SYSTEM OF MISSISSIPPI, Individually and on Behalf of All Others Similarly Situated,

Plaintiff,

VS.

Civil Action No:

ENDO INTERNATIONAL PLC; RAJIV KANISHKA LIYANAARCHCHIE DE SILVA; SUKETU P. UPADHYAY; DANIEL A. RUDIO; ROGER H. KIMMEL; SHANE M. COOKE; JOHN J. DELUCCA; ARTHUR J. HIGGINS; NANCY J. HUTSON; MICHAEL HYATT; WILLIAM P. MONTAGUE; JILL D. SMITH; WILLIAM F. SPENGLER; GOLDMAN, SACHS & CO.; J.P. MORGAN SECURITIES LLC; BARCLAYS CAPITAL INC.; DEUTSCHE BANK SECURITIES INC.; RBC CAPITAL MARKETS, LLC; CITIGROUP GLOBAL MARKETS, LLC; MORGAN STANLEY & CO. LLC; SUNTRUST ROBINSON HUMPHREY, INC.; TD SECURITIES (USA) LLC; and MITSUBISHI UFJ SECURITIES (USA) INC.,

Defendants.

#### **NOTICE OF REMOVAL**

PLEASE TAKE NOTICE that pursuant to 28 U.S.C. §§ 1331, 1441 and 1446, and the Securities Litigation Uniform Standards Act of 1998 ("SLUSA"), 15 U.S.C. §§ 77v(a), 77p(c), Defendants Endo International plc ("Endo"), Rajiv Kanishka Liyanaarchchie de Silva, Suketu P. Upadhyay, Daniel A. Rudio, Roger H. Kimmel, Shane M. Cooke, John J. Delucca, Arthur J.

Higgins, Nancy J. Hutson, Michael Hyatt, William P. Montague, Jill D. Smith, and William F. Spengler (the "Removing Defendants") by and through their undersigned counsel, hereby remove this putative class action from the Court of Common Pleas of Chester County, Pennsylvania to the United States District Court for the Eastern District of Pennsylvania. The Removing Defendants appear for purposes of removal only and reserve all defenses and rights available.

As grounds for removal, the Removing Defendants state as follows:

- 1. On February 28, 2017, named plaintiff Public Employees' Retirement System of Mississippi filed this action against Defendants in the Court of Common Pleas of Chester County, Pennsylvania (Case No. 2017-02081-MJ).
- 2. On March 21, 2017, counsel for the Removing Defendants accepted service on their behalf. Defendants Goldman, Sachs & Co., J.P. Morgan Securities LLC, Barclays Capital Inc., Deutsche Bank Securities Inc., RBC Capital Markets, LLC, Citigroup Global Markets Inc. (incorrectly named as Citigroup Global Markets, LLC), Morgan Stanley & Co. LLC, Suntrust Robinson Humphrey, Inc., TD Securities (USA) LLC, and MUFG Securities Americas Inc. (incorrectly named as Mitsubishi UFJ Securities (USA) Inc.), (the "Underwriter Defendants") were served on March 8, 2017. Therefore, this notice is timely filed under 28 U.S.C. § 1446(b)'s 30-day time limit.
  - 3. There are no pending motions in this case.
- 4. The Underwriter Defendants consent to removal. Accordingly, the Removing Defendants hereby certify that *all* defendants in the action consent to the removal of this case. A Consent to Removal will be filed subsequently by the Underwriter Defendants.

### Removal Is Proper Under 28 U.S.C. § 1441

5. Federal district courts "shall have original jurisdiction of all civil actions arising under the Constitution, laws, or treaties of the United States." 28 U.S.C. § 1331. Accordingly, the federal removal statute, 28 U.S.C. § 1441(a), provides:

Except as otherwise expressly provided by Act of Congress, any civil action brought in a State court of which the district courts of the United States have original jurisdiction, may be removed [to federal court] . . . .

This case is within the original jurisdiction of this Court, under Section 1331, because it asserts claims purporting to arise under the laws of the United States, namely Sections 11, 12(a)(2) and 15 of the Securities Act of 1933 (the "Securities Act"). 15 U.S.C. §§ 77k, 77l(a)(2), 77o. The action is therefore removable under Section 1441(a) unless Congress has "otherwise expressly provided."

# **Before SLUSA:** Section 22(a) of the Securities Act Created Concurrent Jurisdiction Over, and Barred Removal of, Securities Act Cases

6. In Section 22(a) of the Securities Act, as it was written in 1933, Congress *did* "otherwise expressly provide[]." Back then, the jurisdictional provision of Section 22(a) stated:

The district courts of the United States and the United States courts of any Territory shall have jurisdiction of offenses and violations under this title [the Securities Act] and under the rules and regulations promulgated by the Commission in respect thereto, and, concurrent with State and Territorial courts, of all suits in equity and actions at law brought to enforce any liability or duty created by this title [the Securities Act].

15 U.S.C. § 77v(a) (1933) (emphasis added). Accordingly, the original Securities Act provided for concurrent federal and state jurisdiction over Securities Act claims. Similarly, the original removal provision of Section 22(a) of the Securities Act barred the removal of Securities Act cases filed in state court, by providing that: "No case arising under this title [the Securities Act]

and brought in any State court of *competent jurisdiction* shall be removed to any court of the United States." *See* 15 U.S.C. § 77v(a) (1933) (emphasis added).

7. SLUSA re-wrote both of these provisions to eliminate state court jurisdiction over, and requiring removal of, Securities Act claims.

# After SLUSA: Section 22(a) of the Securities Act is Amended to Establish Federal Court as the Exclusive Venue for Securities Act Claims.

- 8. In 1998, Congress enacted SLUSA expressly to "make[] Federal court the exclusive venue for securities class action lawsuits." H.R. Rep. No. 105-640, at 8 (1998).
- 9. To ensure that federal courts are the exclusive venue for these securities class actions, SLUSA amended both the jurisdictional and removal provisions of Section 22(a) of the Securities Act. The jurisdictional provision of Section 22(a) now provides:

The district courts of the United States and the United States courts of any Territory shall have jurisdiction of offenses and violations under this title [the Securities Act] and under the rules and regulations promulgated by the Commission in respect thereto, and, concurrent with State and Territorial courts, *except as provided in section 16 [15 U.S.C. § 77p] with respect to covered class actions*, of all suits in equity and actions at law brought to enforce any liability or duty created by this title [the Securities Act].

15 U.S.C. § 77v(a) (1998) (amendment in italics). Similarly, Section 22(a)'s removal provision now provides:

Except as provided in section 16(c) [15 U.S.C. § 77p(c)], no case arising under this title [the Securities Act] and brought in any State court of competent jurisdiction shall be removed to any court of the United States.

15 U.S.C. § 77v(a) (1998) (amendments in italics). The "section 16" exceptions to each of these provisions refer to SLUSA.

- 10. As discussed above, SLUSA amended the jurisdictional provision of Section 22(a) to create exclusive federal jurisdiction over "covered class actions." 15 U.S.C. § 77v(a). Section 16 of the Securities Act, as amended by SLUSA, defines a "covered class action" to include any case in which a plaintiff asserts claims "on a representative basis on behalf of [himself] and other unnamed parties similarly situated, and questions of law or fact common to those persons or members of the prospective class predominate . . . ." 15 U.S.C. § 77p(f)(2)(A)(i)(II).
- 11. This case is a covered class action under SLUSA because plaintiff is a named party seeking to recover damages on a representative basis on behalf of itself and others similarly situated, and the Complaint alleges that common questions of law or fact predominate over individual questions. See, e.g., Compl. ¶¶ 80-84. Because this case involves a covered class action, federal courts have exclusive jurisdiction over it pursuant to Section 22(a). Indeed, every court within the Third Circuit that has considered this issue has held that SLUSA divested state courts of jurisdiction over claims under the Securities Act (such as those asserted in this case), and that class actions asserting such claims under the Securities Act are removable under SLUSA. See Iron Workers Dist. Council of New England Pension Fund v. MoneyGram Int'l.. Inc., --- F. Supp. 3d ---, C.A. No. 15-402-LPS, 2016 WL 4585975, at \*5 (D. Del. Sept. 2, 2016) ("Congress expressly eliminated state courts' concurrent jurisdiction over covered class actions arising under the Securities Act"); Rovner v. Vonage Holdings Corp., No. 07-178, 2007 WL 446658, at \*3 (D.N.J. Feb. 7, 2007) (holding that SLUSA "replaced concurrent jurisdiction with exclusive federal jurisdiction over 'covered class actions'" brought under the Securities Act); Pinto v. Vonage Holdings Corp., No. 07-0062 (FLW), 2007 WL 1381746, at \*2 (D.N.J. May 7,

2007) (holding that SLUSA "divest[ed] state courts of concurrent jurisdiction over covered class actions"). This case's removal to federal court is required for this reason alone.

12. An additional, and independent, basis for removal is provided by SLUSA's amendment to Section 22(a)'s removal provision. As discussed above, SLUSA amended that removal provision so that cases "arising under" the Securities Act cannot be removed from state court to federal court "[e]xcept as provided in section 16(c)." 15 U.S.C. § 77v(a). Section 16(c) provides:

Any covered class action brought in any State court involving a covered security, as set forth in subsection (b), shall be removable to the Federal district court for the district in which the action is pending, and shall be subject to subsection (b).

15 U.S.C. § 77p(c). Subsection (b), in turn, identifies securities class actions based on (1) untrue statements or omissions or (2) deceptive sales. *See* 15 U.S.C. § 77p(b). Thus, Section 16(c) authorizes the removal of class actions that allege misstatements or omissions in connection with a covered security. A "covered security" is defined to include shares either listed, or authorized for listing, on the New York Stock Exchange ("NYSE"), American Stock Exchange ("ASE"), or National Market System of the Nasdaq Stock Market ("Nasdaq"), or "a security of the same issuer that is equal in seniority or that is a senior security to a security" that is listed, or authorized for listing, on the NYSE, ASE, or Nasdaq. *See* 15 U.S.C. §§ 77p(f)(3), 77r(b)(1)(A), (C).

13. Here, the securities at issue, the common shares of Endo, are "covered securit[ies]" within the meaning of SLUSA because they are listed, and were listed during the relevant period, on NASDAQ. *See*, *e.g.*, Compl. ¶¶ 1, 4-6. Because this action is a covered class action (*supra* ¶¶ 10-11), makes allegations of untrue statements or omissions (*see*, *e.g.*, Compl. ¶ 2), involves a covered security, and was brought in state court, it is also removable under Section

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16(c). See, e.g., Rovner, 2007 WL 446658, at \*5 (finding the SLUSA requires removal of

covered class action alleging Securities Act claims). Removal is appropriate for this additional

reason.

**Additional Removal Considerations** 

14. Pursuant to 28 U.S.C. § 1446(a), attached hereto as Exhibit A is a true and correct

copy of the Complaint and Notice to Defend, Civil Cover Sheets, Affidavits of Service of

Complaint and Notice to Defend, and Acceptance of Service filed in state court. No other

pleadings or orders have been filed in this litigation.

15. The Removing Defendants will promptly serve Plaintiff with a copy of the Notice

of Removal and file with the Clerk of the Court of Common Pleas of Chester County,

Pennsylvania, a Notice of Filing Notice of Removal pursuant to 28 U.S.C. § 1446(d).

16. Venue is proper in the Eastern District of Pennsylvania under 28 U.S.C. § 1441(a)

because the state court where the suit has been pending is located in this district.

17. This Notice of Removal is signed pursuant to Fed. R. Civ. P. 11.

18. Accordingly, Removing Defendants remove this action in its entirety from the

Court of Common Pleas of Chester County, Pennsylvania to the United States District Court for

the Eastern District of Pennsylvania.

Dated: Philadelphia, Pennsylvania

March 31, 2017

Respectfully submitted,

MORGAN, LEWIS & BOCKIUS LLP

J. Gordon Cooney, Jr. (No. 42636)

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1701 Market Street

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### **CERTIFICATE OF SERVICE**

I hereby certify that on March 31, 2017, a true and correct copy of the foregoing was served upon the following persons via email and federal express:

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Humphrey, Inc., TD Securities (USA) LLC, and
Mitsubishi UFJ Securities (USA) Inc.

<u>Laura H. McNally</u>

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## IN THE UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF PENNSYLVANIA

### CASE MANAGEMENT TRACK DESIGNATION FORM

**CIVIL ACTION** 

Public Employees' Retirement System of Mississippi, Individually and on Behalf

Of All Others Similarly Situated

| management cases.)  | Laura H. McNally  Attorney-at-law (215) 963-5001   | Endo International plc, Rajiv Kanishka Liyanaarchchie de Upadhyay, Daniel A. Rudio, Roger H. Kimmel, Shane M. Delucca, Arthur J. Higgins, Nancy J. Hutson, Michael Hy Montague, Jill D. Smith, and William F. Spengler  Attorney for  laura.mcnally@morganlewis.c   | Cooke, Johi<br>att, William                 |  |  |  |
|---|--|---|---|--|--|--|
| management cases.) (f) Standard Management March 31, 2017   | Laura H. McNally  Attorney-at-law  | Endo International plc, Rajiv Kanishka Liyanaarchchie de<br>Upadhyay, Daniel A. Rudio, Roger H. Kimmel, Shane M.<br>Delucca, Arthur J. Higgins, Nancy J. Hutson, Michael Hy<br>Montague, Jill D. Smith, and William F. Spengler  Attorney for                       | e Silva, Suk<br>Cooke, Johi<br>att, William |  |  |  |
| management cases.) (f) Standard Management  |  | Endo International plc, Rajiv Kanishka Liyanaarchchie de<br>Upadhyay, Daniel A. Rudio, Roger H. Kimmel, Shane M.<br>Delucca, Arthur J. Higgins, Nancy J. Hutson, Michael Hy   | e Silva, Suk<br>Cooke, Joh                  |  |  |  |
| management cases.)  | : – Cases that do not fall into a  | any one of the other tracks.  | ( )   |  |  |  |
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| commonly referred to  | - Cases that do not fall into tra<br>as complex and that need spe<br>e side of this form for a detail                      | cial or intense management by   | (X)   |  |  |  |
| (d) Asbestos – Cases invo<br>exposure to asbestos.  | lving claims for personal inju   | ry or property damage from  | ( )   |  |  |  |
| Arbitration – Cases required to be designated for arbitration under Local Civil Rule 53.2. (  |  |   |   |  |  |  |
| b) Social Security – Cases requesting review of a decision of the Secretary of Health and Human Services denying plaintiff Social Security Benefits.                              |  |   |   |  |  |  |
| a) Habeas Corpus – Case   | abeas Corpus – Cases brought under 28 U.S.C. § 2241 through § 2255.  |   |   |  |  |  |
| SELECT ONE OF THE   | FOLLOWING CASE MAN   | AGEMENT TRACKS:   |   |  |  |  |
| plaintiff shall complete a Giling the complaint and se<br>filing the complaint and se<br>side of this form.) In the<br>designation, that defendar<br>he plaintiff and all other p | Case Management Track Desigrve a copy on all defendants. ( e event that a defendant does at shall, with its first appearan | y Reduction Plan of this court, counse gnation Form in all civil cases at the tir See § 1:03 of the plan set forth on the reservoir not agree with the plaintiff regarding ce, submit to the clerk of court and services and Designation Form specifying the igned. | ne of<br>verse<br>said<br>ve on             |  |  |  |
| n accordance with the C   | et al. : NO.   |   |   |  |  |  |
| Endo International plc,   | et al. :   | NO  |   |  |  |  |

(Civ. 660) 10/02

JS 44 (Rev. 07/16)

### **CIVIL COVER SHEET**

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.)

| I. (a) PLAINTIFFS  |  |   | DEFENDANTS |   |   |   |   |            |
|--|--|---|------------|---|---|---|---|------------|
| Public Employees' Retirement System of Mississippi, Individually and Behalf of All Others Similarly Situated |  |   | nd on      | Endo International plc, et al. (See Attachment)   |   |   |   |            |
| (b) County of Residence  |  | Hinds County, MS                                  |            | County of Residence of First Listed Defendant Chester County, PA  |   |   |   |            |
| (E.  | XCEPT IN U.S. PLAINTIFF C                  | ASES)   |            | (IN U.S. PLAINTIFF CASES ONLY)  NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE TRACT OF LAND INVOLVED. |   |   |   |            |
|  |  |   |            | THE TRACT   | OF LAND II  | VOLVED.                                 | MB BOOKITOT OF                                      |            |
| (c) Attorneys (Firm Name,  | Address, and Telephone Numbe               | er)   |            | Attorneys (If Known)  |   |   |   |            |
| See Attachment   |  |   |            | See Attachment  |   |   |   |            |
| II. BASIS OF JURISDI   | ICTION (Place an "X" in (                  | One Box Only)                                     | II. CI     | <br>TIZENSHIP OF P  | RINCIPA   | AL PARTIES                              | (Place an "X" in One Box for Plai                   | <br>intifj |
| □ 1 U.S. Government  | <b>≱</b> 3 Federal Question                |   |            | (For Diversity Cases Only)<br>P'  | TF DEF  |   | and One Box for Defendant) PTF DEF                  | ₹          |
| Plaintiff  | (U.S. Government                           | Not a Party)                                      | Citizo     |   | 1 0 1   | Incorporated or Pri<br>of Business In T | incipal Place 🛛 4 🗇 4                               |            |
| ☐ 2 U.S. Government Defendant  | □ 4 Diversity (Indicate Citizensh          | nip of Parties in Item III)                       | Citizo     | en of Another State   | 2 🗖 2   | Incorporated and P<br>of Business In A  |   | i          |
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| IV. NATURE OF SUIT   |  |   |            | ,   |   |   |   | _          |
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| ☐ 120 Marine ☐ 130 Miller Act ☐ 140 Negotiable Instrument  | 00 Miller Act                              |   |            | 5 Drug Related Seizure<br>of Property 21 USC 881<br>0 Other   | ☐ 422 Appeal 28 USC 158<br>☐ 423 Withdrawal<br>28 USC 157 |   | ☐ 375 False Claims Act ☐ 376 Qui Tam (31 USC        |            |
| □ 150 Recovery of Overpayment<br>& Enforcement of Judgment   | ☐ 320 Assault, Libel &<br>Slander          | Pharmaceutical<br>Personal Injury                 |            |   | PROPE  ☐ 820 Copy   | RTY RIGHTS<br>rights                    | ☐ 410 Antitrust☐ 430 Banks and Banking              |            |
| ☐ 151 Medicare Act☐ 152 Recovery of Defaulted☐   | 330 Federal Employers' Liability           | Product Liability  368 Asbestos Personal          |            |   | ☐ 830 Pater   |   | ☐ 450 Commerce<br>☐ 460 Deportation                 |            |
| Student Loans  | ☐ 340 Marine                               | Injury Product                                    |            |   |   |   | ☐ 470 Racketeer Influenced and                      | d          |
| (Excludes Veterans)  153 Recovery of Overpayment   | ☐ 345 Marine Product Liability             | Liability PERSONAL PROPERT                        | Y 0 71     | LABOR  0 Fair Labor Standards   | SOCIAL<br>861 HIA   | SECURITY<br>(1395ff)                    | Corrupt Organizations  480 Consumer Credit          |            |
| of Veteran's Benefits  | ☐ 350 Motor Vehicle                        | 370 Other Fraud                                   |            | Act   | ☐ 862 Blac  | k Lung (923)                            | ☐ 490 Cable/Sat TV                                  |            |
| ☐ 160 Stockholders' Suits<br>☐ 190 Other Contract  | 355 Motor Vehicle Product Liability        | ☐ 371 Truth in Lending ☐ 380 Other Personal       | D 72       | 0 Labor/Management<br>Relations   | □ 863 DIW   | C/DIWW (405(g))<br>Title XVI            | ■ 850 Securities/Commodities/<br>Exchange           | 1          |
| ☐ 195 Contract Product Liability   | ☐ 360 Other Personal                       | Property Damage                                   |            | 0 Railway Labor Act   | □ 865 RSI   |   | ☐ 890 Other Statutory Actions                       |            |
| ☐ 196 Franchise  | Injury  362 Personal Injury -              | ☐ 385 Property Damage<br>Product Liability        | 115 73     | 1 Family and Medical<br>Leave Act   |   |   | ☐ 891 Agricultural Acts ☐ 893 Environmental Matters |            |
| REAL PROPERTY  | Medical Malpractice                        | - PRICONED PRETERONS                              |            | 0 Other Labor Litigation  |   |   | ☐ 895 Freedom of Information                        |            |
| ☐ 210 Land Condemnation  | CIVIL RIGHTS  440 Other Civil Rights       | PRISONER PETITIONS Habeas Corpus:                 |            | 1 Employee Retirement<br>Income Security Act  |   | AL TAX SUITS<br>s (U.S. Plaintiff       | Act  896 Arbitration                                |            |
| 220 Foreclosure  | 441 Voting                                 | ☐ 463 Alien Detainee                              |            | ,,  | or D  | efendant)                               | ☐ 899 Administrative Procedure                      |            |
| ☐ 230 Rent Lease & Ejectment<br>☐ 240 Torts to Land  | 442 Employment 443 Housing/                | 510 Motions to Vacate<br>Sentence                 |            |   |   | —Third Party<br>ISC 7609                | Act/Review or Appeal of<br>Agency Decision          | f          |
| 245 Tort Product Liability   | Accommodations                             | ☐ 530 General                                     |            |   | ]   |   | ☐ 950 Constitutionality of                          |            |
| ☐ 290 All Other Real Property  | ☐ 445 Amer, w/Disabilities -<br>Employment | 535 Death Penalty Other:                          | □ 46       | IMMIGRATION  2 Naturalization Application   |   |   | State Statutes                                      |            |
|  | 446 Amer. w/Disabilities -                 | ☐ 540 Mandamus & Other                            |            | 5 Other Immigration   |   |   |   |            |
|  | Other  448 Education                       | ☐ 550 Civil Rights ☐ 555 Prison Condition         | ĺ          | Actions   |   |   |   |            |
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| VI. CAUSE OF ACTIO   | 15 U.S.C. §§ 77k                           | itute under which you are<br>, 771(a)(2), and 77o | filing (L  | 00 not cite jurisdictional stat   | tutes unless di   | versity):                               |   |            |
| VII ONOSS OT HOTTE   | I Brief describtion of ca                  | iuse:<br>tion arising under Se                    | ctions     | 11, 12(a)(2) and 15   | of the Sec  | curities Act of 1                       | 933   |            |
| VII. REQUESTED IN S CHECK IF THIS IS A CLASS ACTION DEMAND \$ CHECK YES only if demanded in comp             |  |   |            |   |   |   |   |            |
| VIII. RELATED CASE<br>IF ANY   | (See instructions):                        | <sub>JUDGE</sub> Hon. Jesse                       |            |   | DOCKE   | T NUMBER S.I                            | D.N.Y. 1:16-cv-03912                                |            |
| DATE SIGNATURE OF ATTORNEY OF RECORD  03/31/2017  AGUNA MALLY  |  |   |            |   |   |   |   |            |
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### Plaintiff:

Public Employees' Retirement System of Mississippi

### Plaintiff's Counsel:

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Counsel for Plaintiff

#### **Defendants:**

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Counsel for Goldman, Sachs & Co., J.P. Morgan Securities LLC, Barclays Capital Inc., Deutsche Bank Securities Inc., RBC Capital Markets, LLC, Citigroup Global Markets, LLC, Morgan Stanley & Co. LLC, Suntrust Robinson Humphrey, Inc., TD Securities (USA) LLC, and Mitsubishi UFJ Securities (USA) Inc.

## Case 2:17-cv-01466-PD Document 1-3 Filed 03/31/17 Page 1 of 1 UNITED STATES DISTRICT COURT

FOR THE EASTERN DISTRICT OF PENNSYLVANIA — DESIGNATION FORM to be used by counsel to indicate the category of the case for the purpose of assignment to appropriate calendar.

| Address of Plaintiff: Public Employees' Retirement System of Mississippi,   | 429 Mississippi Street, Jackson, Hinds County, MS 3920  |  |  |  |  |
|---|---|--|--|--|--|
| Address of Defendant: Endo International plc, 1400 Atwater Drive, Malvern,  | Chester County, PA 19355  |  |  |  |  |
| Place of Accident, Incident or Transaction: Nationwide Putative Class   |   |  |  |  |  |
| (Use Reverse Side For A   | ldditional Space)   |  |  |  |  |
| Does this civil action involve a nongovernmental corporate party with any parent corporation a (Attach two copies of the Disclosure Statement Form in accordance with Fed.R.Civ.P. 7.1(a) |   |  |  |  |  |
| Does this case involve multidistrict litigation possibilities?  | Yes   |  |  |  |  |
| RELATED CASE, IF ANY:   | D. M. J. NIA  |  |  |  |  |
| Case Number: SDNY 1:16-cv-03912 Judge Hon. Jesse M. Furman  | Date Terminated: N/A  |  |  |  |  |
| Civil cases are deemed related when yes is answered to any of the following questions:  |   |  |  |  |  |
| 1. Is this case related to property included in an earlier numbered suit pending or within one year.  | <u> </u>  |  |  |  |  |
| 2. Does this case involve the same issue of fact or grow out of the same transaction as a prior s   | Yes No I  |  |  |  |  |
| action in this court?   | and personal of the season of |  |  |  |  |
|   | Yes ■ No ■  |  |  |  |  |
| 3. Does this case involve the validity or infringement of a patent already in suit or any earlier r   | numbered case pending or within one year previously  Yes  No  No  |  |  |  |  |
| terminated action in this court?  | Yes Note  |  |  |  |  |
| 4. Is this case a second or successive habeas corpus, social security appeal, or pro se civil right   | s case filed by the same individual?  |  |  |  |  |
|   | Yes No 🗖  |  |  |  |  |
| CIVIL: (Place ✓ in ONE CATEGORY ONLY)   |   |  |  |  |  |
|   | D. Disarks Lat Hater Co   |  |  |  |  |
| <ul> <li>A. Federal Question Cases:</li> <li>1. Indemnity Contract, Marine Contract, and All Other Contracts</li> </ul>   | <ul> <li>B. Diversity Jurisdiction Cases:</li> <li>1. Insurance Contract and Other Contracts</li> </ul>   |  |  |  |  |
|   |   |  |  |  |  |
| 2. FELA   | 2. Airplane Personal Injury   |  |  |  |  |
| 3. Jones Act-Personal Injury  | 3. Assault, Defamation  |  |  |  |  |
| 4. Antitrust  | 4. Marine Personal Injury   |  |  |  |  |
| 5. Patent   | 5. Motor Vehicle Personal Injury  |  |  |  |  |
| 6. Labor-Management Relations   | 6.  Other Personal Injury (Please specify)  |  |  |  |  |
| 7. Civil Rights   | 7. Products Liability   |  |  |  |  |
| 8. Habeas Corpus  | 8. 🔲 Products Liability — Asbestos  |  |  |  |  |
| 9. Securities Act(s) Cases  | 9. All other Diversity Cases  |  |  |  |  |
| 10. Social Security Review Cases  | (Please specify)  |  |  |  |  |
| 11. All other Federal Question Cases (Please specify)   |   |  |  |  |  |
| ARBITRATION CERT<br>(Check Appropriate Co   |   |  |  |  |  |
| I, Laura McNally , counsel of record do hereby certif   |   |  |  |  |  |
| Pursuant to Local Civil Rule 53.2, Section 3(c)(2), that to the best of my knowledge and \$150,000.00 exclusive of interest and costs;  | belief, the damages recoverable in this civil action case exceed the sum of   |  |  |  |  |
| Relief other than monetary damages is sought.   |   |  |  |  |  |
| Same and Markette   | 040050  |  |  |  |  |
| DATE: March 31, 2017  Attorney-at-Law  Attorney-at-Law  | 310658  |  |  |  |  |
| NOTE: A trial de novo will be a trial by jury only if the   | Attorney I.D.# re has been compliance with F.R.C.P. 38.   |  |  |  |  |
| 7 (10 (1) 4) (1) (1) (1)  |   |  |  |  |  |
| I certify that, to my knowledge, the within case is not related to any case now pending or except as noted above.   | within one year previously terminated action in this court  |  |  |  |  |
| January M. M. M.  |   |  |  |  |  |
| DATE: March 31, 2017  Adula McHally   | 310658  |  |  |  |  |
| Attorney-at-Law   | Attorney I.D.#  |  |  |  |  |

CIV. 609 (5/2012)